

**CUSTOMER ACCOUNT INFORMATION FORM  
INDIVIDUAL ACCOUNT**

Account Name

Mailing Address

Residential Address

Tel. No.  Mobile No.  Email Addr

Birth Place  Date of Birth  Nationality

Civil Status  Sex  Occupation  TIN

Employer

Business Address

Tel. No.  Fax No.

Identification Documents (any of the following with picture):  
 Driver's License       Passport       SSS ID       Others

Client's Specimen Signature:  
 1. \_\_\_\_\_ 1. \_\_\_\_\_  
 2. \_\_\_\_\_ 2. \_\_\_\_\_

**ACCOUNT INFORMATION**

Account Name	Bank	Branch	Account Number

from which cost of stocks purchased shall be debited and/ or to which proceeds of sale of stocks shall be credited.

**SETTLEMENT INSTRUCTIONS**

Registry of Certificates:       In Street       In Client's Name  
 NOTE: All Securities purchased by non-Metro Manila Clients shall be registered in street name.

Other persons authorized to receive confirmation/ checks/ other documents:  
 Name: \_\_\_\_\_ Relationship: \_\_\_\_\_ Signature: \_\_\_\_\_

ACCOUNT TYPE:       Cash       Discretionary       Margin

INVESTMENT OBJECTIVE:       Speculation       Long-Term       Growth       Preservation of Capital

ANNUAL INCOME  ASSETS  NETWORTH

Are you an officer/ director of a publicly listed company?       YES       NO

If yes, state the name of the company and position

Are you associated with another broker/ dealer?       YES       NO

If yes, state the name of the broker and position

Preferred delivery of Order Confirmation:       Courier       Fax       Email       Pick-up

**FOR ACEC USE ONLY**

Commission Rate \_\_\_\_\_ Credit Limit Granted \_\_\_\_\_

Name and Signature of person authorized to exercise discretion on account \_\_\_\_\_

Name and Signature of associate handling the account \_\_\_\_\_

Name and Signature of officer or manager accepting the account \_\_\_\_\_

## TERMS AND CONDITIONS

This is to confirm my/our understanding that Accord Capital Equities Corp. ("Accord Capital") will act as my/our Broker (the "BROKER") for my/our transactions in securities. As a client (the "CLIENT") of Accord Capital, I/we also confirm that all my/our orders for purchases or sales of securities shall be subject to the prevailing rules of the Philippine Stock Exchange, Inc. ("PSE") or any exchange in which Accord Capital is a member, to the regulations of the Securities and Exchange Commission ("SEC") and to the following terms and conditions:

1. Orders for the purchase and sale of securities made to you by me/us shall be subject to the following conditions, provided that it is not inconsistent with any laws, rules, regulations and policies issued by the SEC, PSE and its Clearing House, or any agency of the Philippine Government. I/we can make the order verbally (whether in person, through the telephone or other similar means), in writing (whether by fax, telex or other similar means) or through another person. You may rely upon or act in accordance with such orders. In acting upon my/our orders and instructions, received through any of the above-mentioned means, I/We hereby agree that you shall not be liable for any losses or damages suffered by me/us if you act upon such instructions, provided that you act in good faith and I/we shall indemnify you against all losses and damages incurred or sustained by you in relying upon such instructions. You shall exert your best efforts in complying with such orders and instructions but without any warranties that said orders and instructions will be fully carried out.
2. All transactions in securities made for on my/our behalf will not be binding on you until issuance of your confirmation notice, nor do you warrant the transaction has been executed unless the confirmation notice is issued.
3. All securities purchased and not paid for within 24 hours shall be registered in the name of Accord Capital Equities Corp.
4. You may at your discretion and from time to time require advance payment, fully or partially, for the securities I/we order to be purchased before your execution of the order to purchase. In the case of any order to sell securities for me/us, I/we will abide with your policy of "NO CERTIFICATE, NO SALE" requiring advance delivery of the securities or certificates duly endorsed before your execution of the order to sell.
5. You shall not be liable for delays or failures in the transmission of orders owing to failure of transmission or breakdown of communication facilities or any other cause beyond your control.
6. In the event that my/our cash account is not liquidated within two (2) days, or in the event of my/our death or whenever in its sole discretion Accord Capital Equities Corp. considers it necessary for its own protection, I/we hereby specifically authorize and empower Accord Capital Equities Corp. to sell any or all securities in my/our account/s (whether carried individually or jointly with others), and herein pledged as collateral for the payment of any liability to you in said account/s, which securities and other property now or hereafter purchased or held for me/us otherwise in your possession or that of your affiliates, for any purpose, are hereby guaranteed to be free from any and all liens and encumbrances, and shall secure any of my/our liability which now or hereafter may exist in favor of your company. It being expressly understood that in the event that such liens unknown to you as Broker prevent subsequent negotiation of the said securities, you may at your sole discretion, buy back the sold securities and collect from us whatever amount you may be entitled to by reason of such buy back; to buy any and all securities to cover for any short-selling in such account/s, to transfer money or securities from any one of my account/s to another, and to close any and all outstanding obligations. You are further authorized at your sole discretion and at any time to sell all or part of such securities and property without prior notice to me/us whenever in your judgment my/our liabilities are not adequately secured. It is hereby agreed and understood that I/we shall at all times be liable for the payment of indebtedness on unpaid balances owing if any on my/our account/s, together with interest, other acts herein provided and that I/we shall remain liable for any deficiency remaining in any such account/s in the event of liquidation.
7. All orders for the purchase of securities, cash or check basis, shall be paid for by me/us within two (2) trading days from date of execution of my/our order. If not paid within two (2) trading days, any further upward or downward fluctuation in market price of the securities purchase for me/us shall be for my/our account and risk, until actually sold or disposed of. Furthermore, you are hereby authorized at your own discretion to sell my/our securities as may be necessary to cover the unpaid order or balance thereof, without prejudice to my/our liability for any deficiency remaining after the sell-out.
8. Any of my/our unpaid accounts shall commence to bear interest from the time they become due and delinquent at the prevailing interest rate charged by you at the time (or at such higher rates as may be allowed by the Central Bank) compounded monthly. The amount of interest shall constitute the prevailing average cost of Accord Capital's loan, plus a charge to cover handling costs. The rate shall be applied to the average daily debt balance, which is the original amount owing to you. At the close of a calendar month, unpaid interest shall be added to the debit balance of my/our account/s to form part of the principal, which amount shall then be charged the prevailing rate of interest. After a month, my/our liability/ies shall be further charged, in addition to the interest, a penalty charge of 2% per month. In the event of a lawsuit to enforce collection, I/we expressly agree to pay attorney's fee of at least twenty-five (25%) percent of the amount involved plus damages and charges.
9. All communications, whether by telephone, messenger, mail, telegraph, or otherwise, sent to me/us at the address given at the back hereof, or at such other addresses as I/we may hereafter give you in writing, shall constitute personal delivery to me/us, whether actually received or not. In the event of my/our failure to notify you in writing of any change of address, all communications shall be directed to said last known address appearing in your records. It is further agreed that the contents of all communications sent to my/our indicated address shall be conclusive as to their correctness in the absence of a written objection received by you within twenty-four hours from the time the communication was sent to my/our address.
10. FOR NON-METRO MANILA CLIENTS. I/We shall open a bank account, whatever bank will be required by Accord Capital Equities Corp., to which proceeds of sale of securities shall be credited. Further, all securities purchased shall be registered in the name of Accord Capital Equities Corp.
11. I/We am/are fully aware that the securities market can be a rapidly changing market and that there is an inherent risk in incurring losses in the amount invested. That my/our investments will be in the form of shares in publicly-listed companies in which I/we will instruct Accord Capital Equities Corp. to purchase on my/our behalf. Depending on the prevailing stock market conditions, I/we am/are aware that my/our investments in these shares are subject to investment risk including possible loss of principal. I/we am/are also fully aware that the value of my/our investments in these shares may go up and down depending on the movement of the prices of these shares and that the prices of the shares I/we purchased may fall below my/our original purchase price. Therefore, returns of my/our investments would be difficult to predict. I/We acknowledge that the risks associated with securities purchases are suitable for my/our investment purposes. For this reason, I/we hold Accord Capital Equities Corp. and/or its associates free and harmless against any and all costs, losses, damages, fines, penalties and taxes that it may incur arising out of or in connection with the exercise of any of its functions as Broker.
12. I/We may terminate my/our account by giving you 30 days advance written notice. You may, at your discretion, terminate my/our account/s at any time, effective upon notice to me/us. Such termination shall not affect any right or obligation that arose prior thereto.
13. In the event of litigation or controversy arising out of this Agreement, your books shall be final and conclusive evidence of the amounts due to you. Further, if there are two or more of us who signed this Agreement, our liability shall be joint and several and you may accept orders and instructions from any of us. Upon receipt of any inconsistent order or instruction or court order, you may suspend or terminate our account at your discretion.
14. Accord Capital Equities Corp. agrees that it shall give the customer's securities and other property in its custody the same degree of care and protection that it gives to its own property, and that I/we agree that Accord Capital Equities Corp. shall not be liable to me/us for any loss or damage caused directly or indirectly by invasion, insurrection, not civil war, or commotion, or military or usurped power, or by order of any civil authority, or other causes beyond the control of Accord Capital Equities Corp.
15. If any provision of this Agreement is held unenforceable, for any reason, such determination shall not affect the validity of the remaining provisions of this Agreement.
16. I/we confirm that I/we have read this Agreement and that the contents of this Agreement have been fully explained to me/us in a language, which I/we understand, and that I/we accept this Agreement.

IN WITNESS WHEREOF, we have signed this document in the City of Pasig, Philippines this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Signature of Principal Applicant

With my marital consent:

\_\_\_\_\_  
Signature of Principal Applicant's Spouse

\_\_\_\_\_  
ACCORD CAPITAL EQUITIES CORP.  
Broker

\_\_\_\_\_  
Signature of Joint Applicant

With my marital consent:

\_\_\_\_\_  
Signature of Joint Applicant's Spouse

\_\_\_\_\_  
WITNESS

<b>SPECIMEN SIGNATURE CARD</b>		INDIVIDUAL <input type="checkbox"/>
		JOINT <input type="checkbox"/>
NAME		TIN
CITIZENSHIP		
MAILING ADDRESS		
TELEPHONE NO.		FAX NO.
<p><i>Please sign at least twice</i></p> <p style="text-align: center;"> <span style="margin-right: 100px;">1. _____</span> <span>2. _____</span> </p> <p style="text-align: center;"> <span style="margin-right: 100px;">1. _____</span> <span>2. _____</span> </p>		
<p><b>Important:</b> Certificates will not be issued in your name unless your specimen signature card is on file with the transfer agents of the company.</p>		<p style="text-align: center;"><b>SIGNATURE VERIFIED BY:</b></p> <p style="text-align: center; font-size: small;">ACCORD CAPITAL EQUITIES CORPORATION</p>

<b>SPECIMEN SIGNATURE CARD</b>		INDIVIDUAL <input type="checkbox"/>
		JOINT <input type="checkbox"/>
NAME		TIN
CITIZENSHIP		
MAILING ADDRESS		
TELEPHONE NO.		FAX NO.
<p><i>Please sign at least twice</i></p> <p style="text-align: center;"> <span style="margin-right: 100px;">1. _____</span> <span>2. _____</span> </p> <p style="text-align: center;"> <span style="margin-right: 100px;">1. _____</span> <span>2. _____</span> </p>		
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